

Utility
Patent Application
Transmittal

Attorney Docket No. P-0035US

First Inventor or Application Identifier

RAYBORN, Jerry

Title DRILLING FLUID ADDITIVE SYSTEM CONTAINING

Express Mail Label No. TALC + GRAPHITE

22154 U.S. PTO
10/667415
09/23/03

APPLICATION ELEMENTS

See MPEP chapter 6000 concerning utility patent application contents.

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total pages 41]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
4. Oath or Declaration
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application
(37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R.

§§1.63(d)(2) and 1.33 (b).

* NOTE FOR ITEMS 1&13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §1.28).

ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement [] Power of Attorney
(When there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure [] Copies of IDS
Statement (IDS)/PTO-1449 Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ *Small Entity [] Statement filed in prior
Statement(s) application, Status still
(PTO/SB/09-12) proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

[] Continuation [] Divisional ☒ Continuation-in-part (CIP) of prior Application No. 10/196265

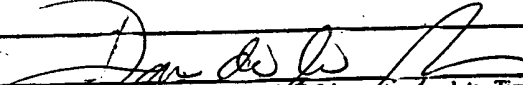
Prior application information: Examiner Philip Tucker Group / Art Unit: 1712

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CORRESPONDENCE ADDRESS

[] Customer Number or Bar Code or [] Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Dan de la Rosa					
Address	345 E 80 th Street, Suite 27H					
City	New York	State	NY	Zip Code	10021	
Country	U. S. A.	Telephone	(212) 570-6547	Fax	(212) 570-9053	
Name (print/type)	Dan de la Rosa			Registration No. (Attorney/Agent)	38,429	
Signature				Date	Sept. 22, 2003	

Burden Hour Statement - This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

09/23/03



16698 U.S. PTO

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a
small entity statement, otherwise large entity fees
must be paid. See Forms PTO/SB/09-12.

Complete if Known

Application Number To Be Assigned _____

Filing Date September 23, 2003

First Named Inventor RAY BORN, Jerry

Examiner Name Philip TUCKER

Group / Art Unit 1712

Attorney Docket No. P-0035US

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated
fees and credit any over payment to:

Deposit Account No. _____
Deposit Account Name _____

2. ☐ Charge Any Additional

Fee Required Under

37 CFR 1.16 and 1.17

3. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380		Utility filing fee	<u>\$ 375</u>
106 310	206 155		Design filing fee	
107 480	207 240		Plant filing fee	
108 760	208 380		Reissue filing fee	
114 150	214 75		Provisional filing fee	
SUBTOTAL (1) \$				

2. EXTRA CLAIM FEES

Total Claims 40 - 20** = 20 x 9 = 180

Independent Claims 3 - 3** = 0 x _____ = 0

Multiple Dependent _____ = _____

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380		Utility filing fee	<u>375</u>
106 310	206 155		Design filing fee	
107 480	207 240		Plant filing fee	
108 760	208 380		Reissue filing fee	
114 150	214 75		Provisional filing fee	
SUBTOTAL (1) \$				<u>375</u>

FEE CALCULATION

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge-late filing fee or oath	
127 50	227 25		Surcharge-late prov	
			provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for reexamination	
112 920*	112 920*		Requesting publication of SIR	
			prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR	
			after to Examiner action	
115 110	215 55		Extension for reply within first month	
116 380	216 190		Extension for reply within second month	
117 870	217 435		Extension for reply within third month	
118 1,360	218 680		Extension for reply within fourth month	
128 1,850	228 925		Extension for reply within fifth month	
119 300	219 150		Notice of Appeal	
120 300	220 150		Filing a brief in support of an appeal	
121 260	221 130		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceedings	
140 110	240 55		Petition to revive-unavoidable	
141 1,210	241 605		Petition to revive-unintentional	
142 1,210	242 605		Utility issue fee (or reissue)	
143 580	243 290		Design issue fee	
144 430	244 215		Plant issue fee	
122 130	122 130		Petition to the Commissioner	
123 50	123 50		Positions related to provisional applications	
126 240	126 240		Submission of Information Disclosure Sheet	<u>40</u>
581 40	581 40		Recording each patent assignment per	
			Property (times number of properties)	
146 760	246 380		Filing a submission after final rejection	
149 760	249 380		(37 CFR 1.129(a))	
			For each additional invention to be	
			examined (37 CFR 1.129(a))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$ 40

TOTAL AMOUNT \$ 595.00

SUBMITTED BY

Typed or
Printed Name

Dan M. de la Rosa

Signature

[Signature]

Date

9/22/03

Deposit Account
User ID

Reg.
Number

38,429

Complete (if applicable)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RAYBORN, Jerry
Serial No.: To Be Assigned
Filed: September 23, 2003
Title: DRILLING FLUID ADDITIVE SYSTEM CONTAINING
TALC AND GRAPHITE
Examiner: Tucker, Philip Art Unit: 1712
Docket: P-0035US

CERTIFICATE OF UPS MAILING

Date of Deposit: September 23, 2003

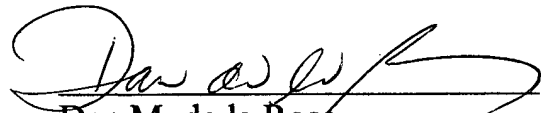
I hereby certify that the following attached paper (s) and/or fee

- (1) Utility Patent Application Transmittal;
- (2) Declaration for Utility or Design Patent Application (37 CFR 1.63);
- (3) Fee Transmittal;
- (4) Assignment and Assignment Recordation Sheet;
- (5) Continuation-In-Part Patent Application (Comprising 41 pgs, 33 pgs of specifications, 7 pgs of claims, 1 pg abstract, 2 pgs of drawings);
- (6) Two checks totaling the amount of \$595.00 (a \$590 check & \$5 check); and
- (7) A self-addressed stamped postcard, return which is requested to acknowledge receipt of the enclosed documents

Are being deposited with UPS on the date indicated above and is addressed to the "Commissioner of Patents, Crystal Plaza #2, Suite 1A031, 2011 South Clark Place, Arlington, VA 22202";

Respectfully submitted,

Dated: September 23, 2003


Dan M. de la Rosa

CORRESPONDENCE:

Dan M. de la Rosa, Esq.
345 E. 80th Street, Suite 27H
New York, NY 10021
Telephone: (212) 570-6597
Facsimile: (212) 570-9053